Annex 1 – Integrity Due Diligence Questionnaire

# Integrity Due Diligence (“IDD”) Questionnaire

This completed questionnaire will be used for integrity due diligence and may be confidentially shared with external vendors for this purpose. In the event that the information provided to complete this questionnaire is not accurate and complete, the World Bank reserves the right to disqualify the applicant.

# Identification of Applicant

For all applicants, please provide the country of the applicant’s incorporation and principal place of business. Please provide full legal names (including middle names for individuals, if available) and addresses in both Latin and native characters (if applicable), as well as any alternate names or abbreviations used.

*Native alphabet used if any:*

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These questions posed in the next section will apply to any of the people or entities listed below:

# Applicant Name and Address

*Legal Name of applicant*

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*Country of incorporation*

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*Street address of principal place of business: (Please provide full physical address):*

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 *City Country Post Code*

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# Applicant’s Senior Management

*(Please duplicate this page if more than 10 lines are needed)*

Please provide the full names and nationalities of the applicant’s senior management (CEO, COO, CFO, etc.) in both Latin and native characters.

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|  |  | *Full Name* |  | *Nationality* |  | *Job Title* |
| **Senior Manager #1:** |  |       |  |       |  |       |
|  |  |  |  |  |  |  |
| **Senior Manager #2:** |  |       |  |       |  |       |
|  |  |  |  |  |  |  |
| **Senior Manager #3:** |  |       |  |       |  |       |
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| **Senior Manager #4:** |  |       |  |       |  |       |
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| **Senior Manager #5:** |  |       |  |       |  |       |
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| **Senior Manager #6:** |  |       |  |       |  |       |
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| **Senior Manager #7:** |  |       |  |       |  |       |
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| **Senior Manager #8:** |  |       |  |       |  |       |
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| **Senior Manager #9:** |  |       |  |       |  |       |
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| **Senior Manager #10:** |  |       |  |       |  |       |

# Applicant’s Board of Directors

*(Please duplicate this page if more than 10 lines are needed)*

Please provide the full names and nationalities of the applicant’s Board of Directors (including supervisory board members, if applicable) in both Latin and native characters.

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|  |  | *Full Name* |  | *Nationality* |  | *Job Title* |
| **Director #1:** |  |       |  |       |  |       |
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| **Director #2:** |  |       |  |       |  |       |
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| **Director #3:** |  |       |  |       |  |       |
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| **Director #4:** |  |       |  |       |  |       |
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| **Director #5:** |  |       |  |       |  |       |
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| **Director #6:** |  |       |  |       |  |       |
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| **Director #7:** |  |       |  |       |  |       |
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| **Director #8:** |  |       |  |       |  |       |
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| **Director #9:** |  |       |  |       |  |       |
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| **Director #10:** |  |       |  |       |  |       |

# Beneficial Owners

*(Please duplicate this page if more than 10 lines are needed)*

Please provide the names and nationalities of beneficial owners (owning 10% or more of the applicant) in both Latin and native characters.

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|  |  | *Full Name/Organization name* |  | *Nationality* |  | *Ownership %* |
| **Owner #1:** |  |       |  |       |  |       |
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| **Owner #2:** |  |       |  |       |  |       |
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| **Owner #3:** |  |       |  |       |  |       |
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| **Owner #4:** |  |       |  |       |  |       |
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| **Owner #5:** |  |       |  |       |  |       |
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| **Owner #6:** |  |       |  |       |  |       |
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| **Owner #7:** |  |       |  |       |  |       |
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| **Owner #8:** |  |       |  |       |  |       |
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| **Owner #9:** |  |       |  |       |  |       |
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| **Owner #10:** |  |       |  |       |  |       |

# Corporate Structure

Please provide the legal names of the applicant’s parent companies, subsidiaries, and significant affiliates in both Latin and native characters.

Name(s) of parent company or companies

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Name(s) of subsidiaries

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Name(s) of significant affiliates

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# Due Diligence Required Information

These questions apply to any of the above-listed people or entities in sections 2.1-2.5:

1. Has been included on the [United Nations Security Council consolidated sanctions list](https://www.un.org/sc/suborg/en/sanctions/un-sc-consolidated-list)?

Yes ☐ No ☐

*if yes, list the names of the sanctioned individuals or entities and describe the sanction:*

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1. Has been listed on the [World Bank Group’s debarment or temporary suspension list](http://web.worldbank.org/external/default/main?theSitePK=84266&contentMDK=64069844&menuPK=116730&pagePK=64148989&piPK=64148984)?

Yes ☐ No ☐

*if yes, list the names of the sanctioned individuals or entities and describe the debarment or temporary suspension:*

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1. Within the last five years, has been: (a) convicted of any crime; or (b) found civilly liable or reached a settlement related to an integrity issue (e.g., enforcement actions requiring compliance reporting or fines, administrative or arbitration proceedings, regulatory breaches, etc.)?

Yes ☐ No ☐

*if yes, list the names of the individuals or entities and describe the conviction(s), civil judgment(s), or settlement(s):*

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1. Is under investigation for criminal activities or serious civil infractions?

Yes ☐ No ☐

 *if yes, list the names of the individuals or entities and describe investigation(s):*

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1. Within the last five years, has been responsible for any significant environmental, social, or health incident?

Yes ☐ No ☐

*if yes, list the names of the individuals or entities and describe the issue(s):*

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# Additional information

Other relevant information (e.g., unusual corporate structure, adverse media coverage, or any other issue relating to the above-listed entities and individuals that would be relevant to the integrity due diligence)

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If you have questions related to the completion of this questionnaire, please contact PAF\_Secretariat@worldbank.org